PRE RISK ASSESSEMENT FOR TABLET AND CAPSULE SORTER

RISK ASSESSMENT

REPORT

Product/System/Equipment	**Capsule Tablet Sorter**
Risk Assessment Report No.	
Report Date	

PRE RISK ASSESSEMENT FOR TABLET AND CAPSULE SORTER

TABLE OF CONTENTS

PHARMA DEVILS

QUALITY ASSURANCE DEPARTMENT

PRE RISK ASSESSEMENT FOR TABLET AND CAPSULE SORTER

DOCUMENT APPROVAL:

This risk analysis study for the preapproval of report by following:

Responsibility	Department	Name	Signature	Date
Prepared by	Quality assurance			
Reviewed by	Production			
	Quality control			
	Engineering			
	Store			
	Quality assurance			
Approved by	Head-QA			

PRE RISK ASSESSEMENT FOR TABLET AND CAPSULE SORTER

1.0 Introduction

The "Capsule Tablet Sorter" is intended to use for inspection of tablet and capsule. The conveyer motor and vibrator starts vibrating which enables the tablet and capsule move forward, the orientation motor starts rotating and this in turn rotates the tablet and capsule to make it convenient for visibility checking of tablet and capsule with assurance of product safety.

2.0 Objective

Objective of this report is to assess the risk associated with the equipment Capsule Tablet Sorter in pre assessment in the manufacturing facility of Cepha Block of ……, in line with the guidance of the Risk Management manual of ……..and ICH Q9.

3.0 Scope

The scope of this document is limited to the design, installation, operation, performance and safety of equipment "Capsule Tablet Sorter" system and define its failure mode at pre assessment in the manufacturing facility of Cepha Block at …….

4.0 Risk assessment approach

Risk assessment is carried out as per FMEA (Failure mode, effects analysis) method.

5.0 Responsibility

Quality Assurance
Engineering
Production
Quality Control
Store

6.0 Reference Documents

1. ICH Q9-Quality Risk Management
2. …….. guidance on Risk assessment.

PRE RISK ASSESSEMENT FOR TABLET AND CAPSULE SORTER

Background

……. is intended to start manufacturing of solid oral facility at ……. Risk assessment is a part of corporate quality assurance. Pre Quality Risk assessment of "Capsule Tablet Sorter" is done to check the system is capable of providing quality product throughout the life cycle of the drug product.

7.0 RISK RANKING PARAMETERS

7.1 Rating parameters for Severity

Effect	Scale	Description
No effect	1	No effect on output
Very slight	2	Customer not annoyed
Slight	3	Slight
Minor	4	Minor effect on performance
Moderate	5	Moderate effect on performance
Significant	6	Partial failure but operable
Major	7	Product performance severely affected, but some operability and safe
Extreme	8	Very dissatisfied, product inoperable but safe
Serious	9	Potentially hazardous effect, time-dependent failure
Hazardous	10	Hazardous effect, safety related sudden failure

7.2 Rating parameters for Occurrence

Occurrence	Scale	Description
Almost never	1	Failure unlikely; history shows no failures
Remote	2	Rare number of historical failure
Very Slight	3	Very few failures likely
Slight	4	Few failures likely
Low	5	Occasional number of failures likely
Medium	6	Medium number of failures likely

PRE RISK ASSESSEMENT FOR TABLET AND CAPSULE SORTER

Occurrence	Scale	Description
Moderately High	7	Moderately high number of failures likely
High	8	High number of failures likely
Very High	9	Very high number of failures likely
Almost certain	10	Failure almost certain

7.3 Rating parameters for Detection control

Detection	Scale	Description
Almost certain	1	Proven detection methods with high reliability
Very High	2	Proven detection methods available
High	3	Detection tools have high chance of detecting methods
Moderately High	4	Almost certain not to detect failure
Medium	5	Detection tools have moderate chance of detecting defect
Low	6	Detection tools have a low chance of detecting failure
Slight	7	Detection tools may not detect failure
Very Slight	8	Detection tools will probably not detect failure
Remote	9	Detection tools most likely will not detect failure
Impossible	10	Failure not detected

Note: Individual contributory factor for each potential failure mode shall be rated. Other scale parameters may also be selected based on the process.

8.0 ACCEPTANCE CRITERIA FOR RISK ASSESSMENT BY FMEA

Acceptance criteria for FMEA are as follows:

S.No.	RPN Rating	RPN Category	Action Status
1.	≥ 76	Critical	CAPA Required
2.	51 to 75	Major	CAPA Required
3.	26 to 50	Moderate	CAPA Required
4.	Up to 25	Minor	Not applicable

PHARMA DEVILS

QUALITY ASSURANCE DEPARTMENT

PRE RISK ASSESSEMENT FOR TABLET AND CAPSULE SORTER

9.0 PRE-RISK ASSESSMENT AS PER FMEA:

Name of facility/Utility/Equipment/Process/Operation: Capsule Tablet Sorter

S.No.	Potential Failure Mode	Potential effect (s) of failure	Severity (S)	Potential cause/ Mechanism of failure	Occurrence (O)	Current Control	Detection (D)	RPN (S x O x D)	Recommended action	Responsibility and TCD	Action Results				
											Action taken	Severity	Occurrence	Detection	New RPN
1	Required Area (floor, Temperature, RH, Differential pressure) & not proper for the Capsule Tablet Sorter.	Area will not be suitable for proper functioning of Equipment.	6	No or less clarity of the product requirement and machine functionality.	3	Approved layout is in place with dimensions & required environmental condition	3	54	Care has to be taken during Area Qualification & equipment qualification	Engineering, QA, Production,					
2	Required parameter not defined in URS /URS not proper for system	Systems not receive suitable for proper output of quality with all parameter as per specification. Affect the product quality.	6	No or less clarity of the product requirement and machine functionality.	2	Preparation of URS before procurement of equipment is in place with all pre-specified parameter.	2	24	Current control measures are adequate	NA	NA	NA	NA	NA	NA

PHARMA DEVILS

QUALITY ASSURANCE DEPARTMENT

PRE RISK ASSESSEMENT FOR TABLET AND CAPSULE SORTER

S.No.	Potential Failure Mode	Potential effect (s) of failure	Severity (S)	Potential cause/ Mechanism of failure	Occurrence (O)	Current Control	Detection (D)	RPN (S x O x D)	Recommended action	Responsibility and TCD	Action Results				
											Action taken	Severity	Occurrence	Detection	New RPN
3	Required utilities (compressed air, electricity ,light facility)are not available	Machine will not function as expected.	7	No or less clarity of the product requirement and machine functionality with respect to utility requirement.	2	URS is in place for system with all predefined requirement of utility like electricity, compressed air, light facility.	1	14	Current control measures are adequate	NA	NA	NA	NA	NA	NA
4	Wrong machine selection in terms of Dimension, capacity and output.	Installation will be affected if dimension is not considered. Output will also get affected if capacity is not considered.	6	No or less clarity of the machine.	2	URS is in place for dimension , capacity and rated output of the of the Capsule Tablet Sorter	1	12	Current control measures are adequate	NA	NA	NA	NA	NA	NA
5	MOC and machine contact parts ,Seals & gaskets/rubber not meeting GMP requirement	Not meting GMP requirements and product get affected.	7	No or less clarity of the machine contact part and MOC.	3	URS is in place for MOC (contact part should be of SS316 or 316L and food grade material and non contact parts will be of SS304 and machine contact parts to fulfill GMP requirements. Gasket/rubber used shall be of food grade rubber.	1	21	Current control measures are adequate	NA	NA	NA	NA	NA	NA

PHARMA DEVILS

QUALITY ASSURANCE DEPARTMENT

PRE RISK ASSESSEMENT FOR TABLET AND CAPSULE SORTER

S.No.	Potential Failure Mode	Potential effect (s) of failure	Severity (S)	Potential cause/ Mechanism of failure	Occurrence (O)	Current Control	Detection (D)	RPN (S x O x D)	Recommended action	Responsibility and TCD	Action Results				
											Action taken	Severity	Occurrence	Detection	New RPN
6	Desired documents (manual ,DQ,IQ,OQ ,)not available	Not meting GMP requirements.	4	No or less clarity of the product requirement and machine functionality	3	URS is in place for system with all predefined requirement of documents	2	24	Current control measures are adequate	NA	NA	NA	NA	NA	NA
7	Equipment not received with the process safety measures.	Accident may happen.	10	No or less clarity about equipment safety measures.	2	Requirement of Safety measures like Earthing is defined in URS.	1	20	Current control measures are adequate	NA	NA	NA	NA	NA	NA

PRE RISK ASSESSEMENT FOR TABLET AND CAPSULE SORTER

9.1 REVIEW OF RISK ASSESSMENT AS PER FMEA AFTER ACTION TAKEN:

Action Results					Remarks
Action Taken	**Severity**	**Occurrence**	**Detectability**	**RPN**	

10.0 RISK CONTROL MEASURES

Investigation/ findings: *(an extra sheet can be used if space is insufficient)*

……

……

……

……

……

……

Corrective Action: *(an extra sheet can be used if space is insufficient)*

……

……

……

……

……

……

……

(Sign/Date)

PRE RISK ASSESSEMENT FOR TABLET AND CAPSULE SORTER

11.0 SUMMARY AND CONCLUSION REPORT FOR RISK ASSESSMENT

Summary: …….....

……

……

……

……

……

……

……

Conclusion: ……

……

……

……

……

……

……

……

PRE RISK ASSESSEMENT FOR TABLET AND CAPSULE SORTER

12.0 FINAL REPORT APPROVAL:

The final report shall be signed after identifying all the risks and critical control parameters. All the reports or documents have been attached to the respective report (if applicable).

Signature in the block below indicates that all the control measures taken are documented and have been reviewed and found to be acceptable.

Department	Name	Designation	Signature	Date
Quality assurance				
Production				
Quality control				
Engineering				
Store				
Head-QA				